

Patent

Docket No. MTI-31079-C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11002 U.S. PTO  
10/066483  
01/31/02

In re Application of : Budge, William et al.  
Serial No. :  
Filing Date :  
Confirmation No. :  
For: : Use of Selective Ozone TEOS Oxide to Create Variable  
Thickness Layers and Spacers  
Group Art Unit :

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

- ☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231  
37 CFR 1.8(a)
- ☐ with sufficient postage as first class mail ■ As "Express Mail Post Office to Addressee" Mailing Label No.  
EL810083956US

**37 CFR 1.10**

**Transmission**

- ☐ transmitted by facsimile to Fax No.: \_\_\_\_\_ addressed to Examiner at the Patent and Trademark Office.

Date: January 31, 2002

Jere L. Houk  
Jere L. Houk

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97/98**

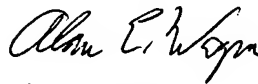
In compliance with Applicants' duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent to Form PTO-1449 are those patents, publications and other information known to the Applicants which may be considered material to the patentability of the claims of the above-captioned application.

All references listed on the enclosed Form PTO-1449 were previously listed on Form PTO-1449 of U.S. Application Serial No. 09/652,188 which is the parent of the present application and co-pending application USSN 09/921,539 and USSN 09/955,503. Copies of these documents are not required to be provided because these documents were previously submitted to the U.S. PTO in one or more prior applications (37 CFR 1.98(d)).

Consideration of all of these references is requested again due to the divisional status of this application. Copies of these references are in the file wrapper of the related application, but if these copies are not available to the Examiner, the Applicants will make additional copies available upon request.

The Applicants respectfully request that the documents listed on the attached equivalent to Form PTO-1449 be considered by the Examiner, that these references be made of record in the present application, and that an initialed copy of the attached equivalent to Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

Respectfully submitted,



Alan E. Wagner  
Registration No. 45188

Dated: January 31, 2002

P.O. ADDRESS:

WHYTE HIRSCHBOECK DUDEK S.C.  
111 East Wisconsin Avenue, Suite 2100  
Milwaukee, Wisconsin 53202  
(414) 273-2100  
Customer No. 022202

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Washington, D.C. 20231  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>	ATTY. DOCKET NO.	Serial No.
	MTI-31079-C	
	Applicant	Confirmation No.
	Budge, William et al.	
	Filing Date	Group Art Unit

11002 U.S. PRO  
10/066483

01/31/02

## U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Date	Patentee	U.S. Class	Sub-Class	Filing Date
	A1	6,149,974	11-2000	Nguyen et al.	427	255.29	
	A2	6,090,693	07-18-00	Gonzalez et al.	438	592	08-16-99
	A3	6,050,506	04-18-00	Guo et al.	239	558	02-13-98
	A4	5,882,993	03-16-99	Gardner et al.	438	591	08-19-96
	A5	5,855,957	01-05-99	Yuan	427	255.3	02-18-97
	A6	5,665,644	09-09-97	Sandhu	438	641	11-03-95
	A7	5,399,513	03-1995	Liou et al.	437	34	
	A8	4,717,678	01-1998	Goth	437	27	
	A9	4,470,852	09-1984	Ellsworth	148	1.5	

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner Initial		Document Number	Publication Date	Country	Int'l Class	Sub-Class	Translation (Yes/No)
	B1	406283526A	10-1994	Japan	H01L	21/3205	

Examiner	Date Considered
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.